

(Individual Affidavits by the Legal Heirs)

INDEMNITY BOND WITH RESPECT TO TRANSFER OF THE MUTUAL FUND UNITS HELD BY THE DECEASED HOLDER WITH OUT PRODUCTION OF LEGAL REPRESENTATION

(In case of Transmission of Mutual Fund Units held by a Single Holder / on death of all unit holders in case of Joint Holding and where there is no nominee registration)

I ^(#) _____ " son / daughter / spouse of _____
_____ residing at _____

do hereby solemnly affirm and sincerely state on oath as follows.

That Mr. / Mrs. ⁽⁺⁾ _____ " the deceased was holding the following mutual fund units in his /her name as single holder/joint holders:

S. No.	Name of Mutual Fund	Scheme Name	Folio No	No. of Units Held

That the deceased had died intestate on _____ for which we are attaching a Death Certificate.

The following are the only legal heir(s) of late Mr. / Mrs. ⁽⁺⁾ _____ **for which we are attaching a Legal Heirship Certificate/ Succession Certificate.**

S. No.	Name of Legal Heirs	Address	Age	Relationship with the deceased

That out of aforesaid legal heirs Master / Kumari _____ Aged _____ years is a minor and he / she is being represented by Mr. / Ms. ^(§) _____ who is none other than his / her father / mother as natural guardian.

We also confirm that there is no other legal heir as stated above to the deceased, ⁽⁺⁾ _____

We also indemnify the concerned mutual fund and its authorized Registrar and Transfer Agent in a separate indemnity letter with a third party sureties.

DEPONENT SIGNATURE: _____

VERIFICATION

We hereby solemnly affirm and state on oath that what is stated herein above is true and correct Original Death Certificate or original attested copy of the death certificate and nothing has been concealed therein and that we are competent to contract and entitled to rights and benefits of the above mentioned mutual fund units of the deceased.

Solemnly affirmed at

Signed before me at _____ on _____

Signature of the Notary with Name & Seal

(+) = Name of the deceased unit holder
guardian

(#) = Name of the legal heir

(\$) = Name of the

(To be signed jointly by all the Legal Heirs)

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(In case of Transmission of Mutual Fund Units held by a Single Holder/ on death of all unit holders in case of Joint Holding and where there is no nominee registration).

I/We do hereby solemnly affirm and sincerely state on oath as follows,

I/We state that “Mr. / Ms. ^(S) _____” passed away on _____ and he/she was holding the following Mutual Fund Units:

I/We do hereby solemnly affirm and sincerely state on oath as follows,

S. No.	Name of Mutual Fund	Scheme Name	Folio No	No. of Units Held

The said unit holder died intestate or without registering any nominee/s in folio/s on the _____ day of _____.

We further inform you that he / she left behind him/her only surviving heirs and next of kin, the following persons according to the Law of Intestate Succession application to him/her by which he/she governed at the time of his/her death.

We have, therefore, approached you with a request to transfer the aforesaid Mutual Fund units in the name of the undersigns

“Mr. _____ / _____ Ms. _____ ^(#) _____”

on my/our behalf without insisting of production of a succession certificate or an order of the court of competent jurisdiction for which we or any one on our behalf execute an indemnity as is herein contained and on relying on the information herein given by us believing the same to be true.

In consideration therefore of my/our request to transfer above said Mutual Fund units to the name of the undersigned “Mr. _____ / _____ Ms. _____ ^(#) _____”.

I / we hereby jointly and severally agree and undertake to indemnify and keep indemnified, saved, defended, harmless you and your successors and assigns for all time hereafter against all losses, costs, claims, actions, demands, risks, charges, expenses, damages, etc., whatsoever which you may suffer and/or incur by reason of your, at my/our request, transferring the said Mutual Fund units as herein above mentioned, to the undersigned “Mr. / Ms. ^(#) _____” without insisting on production of a succession certificate or letter of administration or an order of the court of competent jurisdiction.

IN WITNESS WHEREOF THE said “Mr. / Ms. ^(#) _____” have here unto set their respective hands and seals this _____ day of _____.

Signed and delivered by the said legal heir(s)

1. _____

2. _____

3. _____

Name & Address of Legal Heir(s)

Signature of the Legal Heir(s)

1. _____

2. _____

Suretie/s Name & Address [Mandatory]

Suretie/s Signature

Signature of the Notary with Name & Seal

Date: _____

Place: _____

^(§) = Name of the deceased unit holder

^(#) = Name of the claimant